

033104

15992 U.S. PTO

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PTO/SB/US (06-05)

Approved for use through 07/31/2006. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	AMAT/2880.C3/CMP/CMP/RKK
First Inventor	FRED C. REDEKER, ET AL
Title	LINEAR POLISHING SHEET WITH WINDOW
Express Mail Label No.	EV416701934US

22388 U.S. PTO
10/814405

033104

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages 12) (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Total Sheets 4)</p> <p>5. Oath or Declaration (Total Pages 4) a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 16 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>ACCOMPANYING APPLICATIONS PARTS</p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of (when there is an assignee) Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Statement of Corresponding Claims</p>	
<p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: 10 / 394,599</p> <p>Prior application information: Examiner George B.M. Nguyen Group / Art Unit: 3723</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
17. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)			
Name	Applied Materials		
Address	Patent Counsel - Legal Affairs Department		
	P.O. Box 450A		
City	Santa Clara	State	CA
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Name (Print/Type)	Robert W. Mulcahy	Registration No. (Attorney/Agent)	25,436
Signature		Date	3/31/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 770.00

Complete if Known

Application Number UNKNOWN
Filing Date HEREWITH
First Named Inventor FRED C. REDEKER, ET AL
Examiner Name UNKNOWN
Group / Art Unit UNKNOWN
Attorney Docket No. AMAT/2890.C3/CMP/CMP/RKK

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 50-1074/2890.C3/CMP/CMP/RKK

Deposit Account Name APPLIED MATERIALS, INC.

The Director is authorized to: (Check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☐ Charge any additional fee(s) during pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770.00				
1002	340	2002	170	Design filing fee					
1003	530	2003	265	Plant filing fee					
1004	770	2004	385	Reissue filing fee					
1005	160	2005	80	Provisional filing fee					

SUBTOTAL (1)

(S) 770.00

2. EXTRA CLAIM FEES

Total Claims	8	-20	=	0	X	18	=	0
Independent Claims	1	-3	=	0	X	86	=	0
Multiple Dependent			=		X		=	0

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20				
1201	86	2201	43	Independent claims in excess of 3				
1203	290	2203	145	Multiple dependent claim, if not paid				
1204	86	2204	43	** Reissue independent claims over original patent				
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent				

SUBTOTAL (2) (S) 0

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet					
1053	130	1053	130	Non-English specification					
1812	2,520	1812	2,520	For filing a request for reexamination					
1804	820*	1804	820*	Requesting publication of SIR prior to Examiner action					
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
1251	110	2251	55	Extension for reply within first month					
1252	420	2252	210	Extension for reply within second month					
1253	950	2253	475	Extension for reply within third month					
1254	1,480	2254	740	Extension for reply within fourth month					
1255	2,010	2255	1,005	Extension for reply within fifth month					
1401	330	2401	165	Notice of Appeal					
1402	330	2402	165	Filing a brief in support of an appeal					
1403	280	2403	145	Request for oral hearing					
1451	1,510	2451	1,510	Petition to institute a public use proceeding					
1452	110	2452	55	Petition to revive - unavoidable					
1453	1,330	2453	665	Petition to revive - unintentional					
1501	1,330	2501	665	Utility issue fee (or reissue)					
1502	480	2502	240	Design issue fee					
1503	640	2503	320	Plant issue fee					
1460	130	1460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)					
1806	180	1806	180	Submission of Information Disclosure Summary					
8021	40	8021	40	Recording each patent assignment per property (times number of properties)					
1808	770	2808	385	Filing a submission after final rejection (37 CFR § 1.129(a))					
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))					
1801	770	2801	385	Request for Continued Examination (RCE)					
1802	900	1802	900	Request for expedited examination of a design application					

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(S) 0

SUBMITTED BY

Name (Print/Type) Robert W. Mulcahy Registration No. Attorney/Agent 25,458 Telephone 713-823-4844
Signature *Robert W. Mulcahy* Date 3/31/04


WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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instant application has an earlier priority date than U.S. Patent 6,604,985.

Applicants also note that claims 6 and 7 of U.S. Patent 6,585,563 are drawn to subject matter that is similar to the subject matter of the claims of the Preliminary Amendment of the instant application.

Respectfully submitted,



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